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02-07-02

PTO/IS/05 (11-00)

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02/14/02

UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No. 393032090600

First Inventor Masahiro SHIMZU

Title WAVEFORM GENERATING METHOD, PERFORMANCE DATA PROCESSING METHOD, WAVEFORM SELECTION APPARATUS, WAVEFORM DATA RECORDING APPARATUS, AND WAVEFORM DATA RECORDING AND REPRODUCING APPARATUS

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Date of Deposit: February 4, 2002

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Marco Jimenez

10/067456

02/04/02

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents

Box Patent Application

Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/IS/17)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 55]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 15]
5. ☐ Oath or Declaration [Total Pages 9]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement [Power of Attorney
(where there is an assignee)]
11. ☐ English Translation document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS
Citation(s): 1]
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
Should be specifically removed
15. ☐ Certified Copy of Priority Document
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/IS/36 or its equivalent.
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.

☐ Continuation
 ☐ Divisional
 ☐ Continuation-in-part (CIP)
 ☐ of prior application No.

Prior application information

Examiner *

Group / Art Unit *

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application paper.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

25224

PATENT TRADEMARK OFFICE

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Name (Print/Type)	David L. Fehrmann	Registration No. (Attorney/Agent)	25,600		
Signature	[Signature]		Date February 4, 2002		

la-548139

**FEE TRANSMITTAL
FOR FY 2002**

Patent fees are subject to annual revision.

Complete if Known

Application Number	Currently unknown
Filing Date	Concurrently herewith
First Named Inventor	Masahiro SHIMZU
Examiner Name	Currently unknown
Group Art Unit	Currently unknown
Attorney Docket No.	353032030600

☐ Applicant Claims Small Entity Status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$1284.00)

METHOD OF PAYMENT☐ Check ☐ Credit Card ☐ Money Order ☐ OtherDeposit
Account
Number

03-1952

Deposit
Account
Name

Morrison & Foerster LLP

The Commissioner is authorized to: (check all that apply)

- ☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) required Under 37 CFR 1.16 and 1.17
☐ Charge fee(s) indicated below, except for the filing fee
to the above-identified deposit account

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code Fee (\$)

Fee Code Fee (\$)

Fee Description

Fee Paid

101	740	201	370
108	330	206	180
107	510	207	255
108	740	208	370
114	160	214	80

Utility filing fee	740
Design filing fee	
Plant filing fee	
Reissue filing fee	
Provisional filing fee	

SUBTOTAL (1) (\$1740.00)

2. EXTRA CLAIM FEE

Total Claims	13	-20** =	0	x	18	=	\$0
Independent Claims	9	-3** =	3	x	84	=	\$504
Multiple Dependent						=	\$0

Large Entity Small Entity

Fee Code Fee (\$)

Fee Code Fee (\$)

Fee Description

103 18 203 9

Claims in excess of 20

102 84 202 42

Independent claims in excess of 3

104 280 204 140

Multiple dependent claims, if not paid

109 84 209 42

Reissue independent claims over original patent

110 18 210 9

Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$504.00)

** or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code Fee (\$)

Fee Code Fee (\$)

Fee Description

Fee Paid

105 130 205 65

Surcharge - late filing fee or oath

112 920* 112 920*

Requesting publication of SIR prior to Examiner action

113 1,840* 113 1,840*

Requesting publication of SIR after Examiner action

115 110 215 55

Extension for reply within first month

116 400 216 200

Extension for reply within second month

117 920 217 460

Extension for reply within third month

118 1,440 218 720

Extension for reply within fourth month

128 1,950 228 980

Notice of Appeal

119 320 219 160

Filing a brief in support of an appeal

120 320 220 160

Request for oral hearing

121 280 221 140

Petition to institute a public use proceeding

138 1,510 138 1,510

Petition to revive - unavoidable

140 110 240 55

Petition to revive - unintentional

141 1,280 241 640

Utility issue fee (or reissue)

143 440 243 220

Design issue fee

144 600 244 300

Plant issue fee

122 130 222 130

Petitions of the Commissioner

123 50 223 50

Petitions related to provisional applications

126 180 226 180

Submission of Information Disclosure Sheet

581 40 581 40

Recording each patent assignment per properties (times number of properties)

145 740 245 370

Filing a submission after final rejection

149 740 249 370

(37 CFR § 1.129(a))

179 740 279 370

For each additional invention to be examined (37 CFR § 1.129(b))

169 900 269 900

Request for Continued Examination (RCE)

Request for expedited examination of a design application

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$140.00)

SUBMITTED BY

Name (Print/Type)

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(Attorney/Agent)

28,600

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Signature

Date

February 4, 2002

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